



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Toshio WAKAMATSU et al.

Group Art Unit:

Application No.: 10/559,677

Examiner:

Filed: December 6, 2005

Docket No.: 126131

For:

**COMPLEX** 

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- $\boxtimes$ This Information Disclosure Statement is being filed (a) within three months of 1. the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- $\boxtimes$ 2. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.

3. One co-pending patent application is identified on the attached Form PTO 1449. The Examiner is respectfully requested to consider each cited application and the art cited therein during examination of the present application.

Respectfully submitted,

James A. Oliff Registration No. 27,075

Leane Levi

Leana Levin Registration No. 51,939

JAO:LXL/blm

Date: March 30, 2006

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE
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•	Sheet 1 of 1		
Form PTO-1449 US Dept. of Commerce (REV. 1/06) PATENT & TRADEMARK OFFICE 126131  INFORMATION DISCLOSURE STATEMENT E	APPLICATION NO. 10/559,677	APPLICATION NO. 10/559,677	
(Use several sheets if necessary)  APPLICANT(S) Toshio WAKAMATSU	et al.		
FILING DATE December 6, 2005  U.S. PATENT DOCUMENTS	GROUP		
U.S. PATENT DOCUMENTS		_	
Examiner Cite Initials No. Document Number Date	Name		
1 6,113,972 9/5/00 Corliss et al.			
2 10/559,629 Filed 12/6/05 Kobayashi et a	ıl.		
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FOREIGN PATENT DOCUMENTS			
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Initials No. Document Number Date	Country English English Abstract Translatio	n	
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OTHER DOCUMENTS			
Examiner Cite (Including Author, Title, Date, Pertinent Pages, etc.) Initials No.	)		

Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

Date: March 30, 2006

**EXAMINER** 

FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 126131

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/559,677

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED October 31, 2003



PCT/JP2004/016141 October 29, 2004 TITLE OF INVENTION COMPLEX APPLICANT(S) FOR DO/EO/US Toshio WAKAMATSU; Hideaki KOBAYASHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. П items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a.  $\square$  is attached hereto (required only if not communicated by the International Bureau). b. 
 ☐ has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. 
 is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). c. The International Application was filed in English. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. 

have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. П An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information:

U.S. APPLICATION NO. (if known, s 10/559,677		INTERNATIONAL APPLICATION PCT/JP2004/016141	TION NO.	ATTORNEY'S DOCKET NUMBER 126131			
21.  The following fees				CALCULATIONS	PTO USE ONLY		
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BASIC NATIONAL FEE (37			\$ 300.00	\$			
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):				\$			
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage							
International search fee (37							
International search report path the search fee is paid		·					
All situations not provided for							
EXAMINATION FEE (37 CF			¥	\$			
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Surcharge of \$130.00 for furi	nishing the search fe	ee the examination fee	e or the oath or	\$	·		
declaration after the date of	commencement of t	he national stage (37 (	CFR 1.492(h)).	,			
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INDEPENDENT CLAIMS	- 3		x 200.00 =	\$			
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Processing fee of \$130.00 fo the earliest claimed priority d	r furnishing the Eng late (37 CFR 1.492(	i))		\$			
Fee for recording the enclose	ed assignment (37 (		NATIONAL FEE =	\$			
accompanied by an appropri	ate cover sheet (37	CFR 3.28, 3.31). \$40.	.00 per property +	<b>3</b>			
		TOTAL FE	ES ENCLOSED =	\$			
				Amount to be			
				refunded:	\$   \$		
a.   Check No.	in the amount of \$	to cover the abo	ove fees is enclosed.	charged:	] <b>v</b>		
	y Deposit Account N	No in the amou		the above fees. A du	uplicate copy of this		
Deposit Account	No. <u>15-0461</u> . A dup	zed to charge any addi olicate copy of this she	et is enclosed.				
d.	narged to a credit ca ruld not be included	ard. WARNING: Inform d on this form. Providen	mation on this form m de credit card informa	ay become public. <b>C</b> ition and authorization	redit card n on PTO-2038.		
NOTE: Where an appropr	riate time limit und	ler 37 CFR 1.495 has	not been met, a peti	tion to revive (37 CF	R 1.137(a) or (b))		
must be filed and	granted to restore	the application to pe	ending status.	•			
SEND ALL CORRESPONDENCE TO:  OLIFF & BERRIDGE, PLC  Lana Lynu							
Customer Number: 25944 NAME: James A. Oliff							
REGISTRATION NUMBER: 27,075							
Date <u>March 30, 2006</u>			NAME: Leana Levin REGISTRATION NUMBER: 51,939				
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